

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application No.: 09/460,045  
Filed: December 13, 1999  
Confirmation No.: 1941  
Inventor(s): Nesmith et al.

Title: COMPUTERIZED  
METHOD AND SYSTEM  
FOR TRADING OF  
SECURITIES

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§ Examiner: E. Colbert  
§ Art Unit: 3624  
§ Atty. Dkt. No: 5226-00600  
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<p align="center">CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8</p> <p>DATE OF DEPOSIT: April 9, 2004</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:</p> <p align="center">Commissioner for Patents Alexandria, VA 22313-1450</p> <p align="center"><i>B. Gail Ballard</i> B. Gail Ballard</p>
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

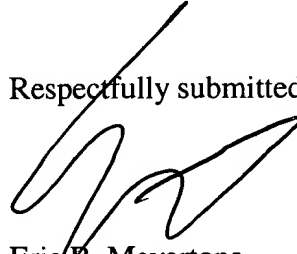
It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 (references E1-E6) be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

Please incorporate the documents listed on attached Form PTO-1449 into the electronic Information Disclosure statement filed on the same day as the above-captioned application.

Nesmith et al.  
09/460,045

Please note that a fee authorization form was included with the electronic submission. Applicant requests that the one submitted fee be accepted for this subsequent submission. Should any additional fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5226-00600/EBM.

Respectfully submitted,



Eric B. Meyertons  
Reg. No. 34,876

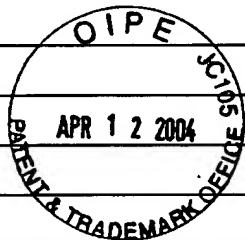
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Date: April 8, 2004

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5226-00600  APPLICANT: Nesmith et al.  FILING DATE: December 13, 1999	SERIAL NO. 09/460,045  GROUP: 3624
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### U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE



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### FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	E1	International Search Report, PCT/US02/18063, mailed May 8, 2003
	E2	International Search Report, PCT/US02/18224, mailed May 15, 2003
	E3	International Search Report, PCT/US02/18978, mailed May 21, 2003
	E4	International Search Report, PCT/US02/26471, mailed December 11, 2003
	E5	International Search Report, PCT/US02/31239, mailed April 3, 2003
	E6	International Search Report, PCT/US02/34357, mailed January 7, 2003

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.